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(to be use

Express Mail EV 216 832 316 US PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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TDANCMITTAL	Application Number	10/651,694	
TRANSMITTAL FORM  ed for all correspondence after initial filing)	Filing Date	August 29, 2003	
	First Named Inventor	Sockman, et al.	
	Art Unit	1761	
	Examiner Name	Not Yet Assigned	

Total Number of Pages in This Submission 23 Attorney Docket Number 12781/291184 ENCLOSURES (check all that apply) After Allowance Communication to Fee Transmittal Form ☐ Drawing(s) Appeal Communication to Board of Fee Attached Licensing-related Papers Appeals and Interferences Petition Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information **Provisional Application** Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Terminal Disclaimer Other Enclosure(s) Extension of Time Request (please identify below): **Express Mail Certificate** Request for Refund Express Abandonment Request EV 216 832 316 US; CD, Number of CD(s) PTO/SB/08A: 20 References; and Information Disclosure Statement **Return Postcard** Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Cynthia B. Rothschild, Reg. No. 47,040 Firm Kilpatrick Stockton LLP Individual name Signature Date February 26, 2004

# CERTIFICATE OF MAILING

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



02-27-09

### **EXPRESS MAIL CERTIFICATE**

"Express Mail" Label No.

EV 216 832 316 US

Serial No.

10/651,694

Applicant(s)

Sockman, et al.

Filing Date

August 29, 2003

Title:

Method and Apparatus for Applying Glaze or Other Coatings

To Food Products

Examiner

Not Yet Assigned

Group Art Unit

1761

Type of Document(s)

Express Mail Certificate;

Transmittal Form PTO/SB/21;

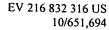
Information Disclosure Statement (in duplicate);

PTO/SB/08A; 20 References; and Return Postcard

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Kay Farmer (signature)

Date Mailed: February 26, 2004





### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Sockman, et al.

Serial No.

10/651,694

Filed

August 29, 2003

For

METHOD AND APPARATUS FOR APPLYING GLAZE OR

OTHER COATINGS TO FOOD PRODUCTS

Examiner

Unassigned

Group Art Unit

1761

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicants submit herewith on Form PTO/SB/08A a listing of Twenty (20) documents known to the Applicants and/or their attorney. Applicants respectfully request consideration of the cited documents and making the same of record in the prosecution of the above-identified application. In so doing, Applicants do not waive any rights to appropriate action to establish patentability over any of the listed documents should they be applied as references against the claims of the present application, or to establish that such references are not prior art. Copies of the documents are enclosed, numbered and labeled for identification purposes.

This statement should not be construed as a representation that more material information does not exist or that a search of the relevant art has been made. It is respectfully requested that the references listed on the attached form PTO/SB/08A be expressly considered by the Examiner, made of record in the application, and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being submitted prior to the mailing of a first substantive Office Action in this application, and, therefore, no certification or fee is required (37 C.F.R. § 1.97b(3)). However, should any fees be due, the Commissioner is authorized to charge such fees to Deposit Account No. 16-1435. A duplicate of this sheet is attached for that purpose.

Respectfully submitted,

Cynthia B. Rothschild, Reg. No. 47,040

Date: February 26, 2004

KILPATRICK STOCKTON LLP 1001 West Fourth Street Winston-Salem, North Carolina 27101-2400 (336) 747-7541 Phone (336) 607-7500 Facsimile

Attorney Docket No.: 12781/291184

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PTO/SB/08A (10-01)

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12781/291184

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Number

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Complete if Known Application Number 10/651,694 Filing Date August 29, 2003 First Named Inventor Sockman, et al. Art Unit 1761 Examiner Name Not Yet Assigned

2

Sheet

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			U.S. PATENT DOCU	JMENTS	
Examiner	Cite No.1	Document Number  Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
	1	4,025,775	5/24/1977	Beauvais et al.	
	2	4,338,911	7/13/1982	Smith	
	3	4,438,572	3/27/1984	Kaminski	
	4	4,457,291	7/3/1984	Henke	
	5	4,462,383	7/31/1984	Henke et al.	
	6.	4,479,776	10/30/1984	Smith	
	7	4,510,601	4/9/1985	Delpit et al.	
	8	4,523,391	6/18/1985	Smith et al.	
	9	4,539,469	9/3/1985	Gigandet	
	10	4,591,333	5/27/1986	Henke	
	11	4,615,014	9/30/1986	Gigandet et al.	
	12	4,626,661	12/2/1986	Henke	
	13	4,679,542	7/14/1987	Smith et al.	
	14	4,781,169	11/1/1988	Henke et al.	
	15	4,846,143	7/11/1989	Csadenyi	
•	16	5,131,841	7/21/1992	Smih	
	17	5,401,940	3/28/1995	Smith et al.	
	18	6,511,689	1/28/2003	Lowry, et al.	
	19	20020035931	3/28/2002	Tschopp et al.	

i	0:44	Foreig	n Patent Doo	ument		Name of Detector or	Pages, Columns, Lines,	
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>3</sup>	Number⁴	Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	

Examiner Signature	Date Considered		

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B (10-01)

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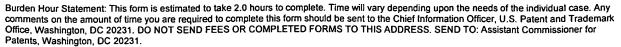
Substitute for form 1449B/PTO Complete if Known **Application Number** 10/651,694 INFORMATION DISCLOSURE Filing Date August 29, 2003 STATEMENT BY APPLICANT **First Named Inventor** Sockman, et al. Art Unit 1761 (use as many sheets as necessary) **Examiner Name** Not Yet Assigned 12781/291184

Attorney Docket Number

OTHER DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
	20	Product information: Thermoglaze Frozen Doughnut Processing System, available at www.belshaw.com		
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Examiner	Date	
Signature	Considered	

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.





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